



HIPAA Vendor/Provider/Payer Testing and Certification Plan

NCPDP Version 5.1

Pharmacy Claims and Eligibility Verification

**STATE OF RHODE ISLAND AND PROVIDENCE
PLANTATIONS**

March 31, 2003

Attention Pharmacy Providers

DUE TO PROVIDER & VENDOR CONCERNS REGARDING HIPAA COMPLIANCY

**THE RHODE ISLAND DEPARTMENT OF HUMAN SERVICES HAS POSTPONED THE
IMPLEMENTATION OF NCPDP 5.1 POS AND BATCH 1.1 UNTIL JULY 1, 2003**

**EFFECTIVE JULY 1, 2003, ONLY PHARMACY CLAIMS IN THE HIPAA COMPLIANT
NCPDP 5.1 POS AND BATCH 1.1 PROTOCOLS WILL BE ACCEPTED**

**TRANSACTIONS USING PRIOR NCPDP VERSIONS AND NON-HIPAA STANDARD
ELECTRONIC FORMATS WILL NOT BE SUPPORTED AFTER JULY 1, 2003**

A. Purpose of HIPAA Certification with Rhode Island Department of Human Services

The purpose of HIPAA Provider/Payer Certification is to assure the compatibility between Medicaid Providers and the Rhode Island Department of Human Services (DHS) and the Fiscal Intermediary – EDS when processing HIPAA ready transactions. This certification process will confirm the ability of software products to submit transactions that can be processed.

Certification eliminates unnecessary processing and payment problems when the submitter begins to send production transactions. Certification is not intended to be used as the clearinghouse's, submitter's or software vendor's initial HIPAA testing. Each entity must complete their own internal testing prior to submitting transactions to EDS for HIPAA certification. Providers and software developers are free to contract with third-party certification vendors individually for initial testing purposes. However, clearinghouses and submitters who certify through third-party certification vendors must also certify through EDS.

B. Who Needs to Certify HIPAA Transactions with DHS?

NCPDP Version 5.1 Vendors

Software developers distributing software to providers are required to certify through WebMD to resolve any telecommunication and preliminary processing issues. Contact Ed Saunders at 1-888-869-4369 Selection 6 or at Ed.Saunders@WebMD.net to initiate the certification process.

Upon successful certification with WebMD, software developers are required to certify with EDS. During this certification process, each developer will be assigned a unique Software Vendor ID. The Software Vendor ID must be distributed to providers with the certified software.

EDS Bulletin Board System (BBS) IDs for accessing the production BBS to submit batch files will be assigned to end-users (submitters) using the certified software instead of software developers.

NCPDP Version 5.1 Interactive Submitters

Submitters who have not previously submitted NCPDP Version 3.2 transactions will be required to register with WebMD. Contact Customer Support at 1-800-333-6869 to register. When registering, submitters will be required to provide their NABP number, provider number and vendor information.

Submitters planning to submit transactions using certified NCPDP Version 5.1 software are required to complete the certification process with EDS.

Upon completion of the certification process, each submitter will enter their developer's unique Software Vendor ID in the Software Vendor Certification ID (110-AK) field of the NCPDP Version 5.1 transaction to prove that they are using certified software.

Interactive submitters will not be required to obtain an EDS BBS ID.

NCPDP Version 1.1 Batch Submitters

Each provider who will submit batch transactions using certified vendor software is responsible for obtaining an EDS BBS ID to access the production BBS. This ID will be placed in the Sender ID field of the NCPDP Version 1.1 batch header and in the NCPDP Version 1.1 Processor Control Number field. Providers will be required to have a signed Trading Partner Agreement on file with EDS prior to receiving this ID.

Although they must obtain an EDS BBS ID, submitters using certified software are not required to complete the full certification process with EDS. Each user will submit their developer's unique Software Vendor ID in the Software Vendor Certification ID (110-AK) field of the NCPDP Version 1.1 header to prove that they are using certified software.

C. Certification Key Dates

The following key dates are tentative and subject to change. Revisions to key dates will be posted on the DHS website. (<http://www.dhs.state.ri.us/dhs/dhipaa.htm>)

Task Date

Certification with Web MD begins April 1, 2003

Receipt of test cases for EDS testing – May 1, 2002

Certification Testing Begins June 2, 2003

Last Day to submit NCPDP 3.2C Transactions – June 30, 2003

First day to submit NCPDP 5.1 transactions - July 1, 2003

D. Administrative Process

I. Complete Web MD Testing

Software developers submitting interactive transactions are required to complete WebMD's certification process prior to contacting EDS to request certification. You **MUST** contact Ed Saunders, Vendor Certification Specialist, of WebMD at 888-869-4369 selection 6, in order to receive specific and detailed testing instructions. Refer to Section B: Who Needs to Certify HIPAA Transactions with DHS?: NCPDP Version 5.1 Vendors for further details.

- a. Upon success testing with EDS through WebMD, complete the NCPDP Certification Registration form at www.dhs.state.ri.us/dhs/hipaa/ncpdptestinfo.htm
- b. Email the form to Ingelcia Jiran at (401) 784-3818 or at ingelcia.Jiran@eds.com
Or Fax the form to Ingelcia Jiran at (401) 941-7712
- c. Upon receipt of the form, EDS will supply you with your NCPDP 5.1 certification number. In order to transmit to RI Medical Assistance, your certification number, **MUST** be entered in the transaction header segment, field.

- d. Providers/submitters will not be individually certified. They will be required to enter a software developer's certification number in the field listed on Page 2 of this document.

II. Vendors can obtain Certification Package:

After May 1, 2003, logon to the DHS HIPAA Internet information web site: *This is the preferred method for information access.* Included in this package are:

- a. Rhode Island Specific Medical Assistance HIPAA NCPDP Version 5.1 Interactive Implementation Guidelines – Informs the developer of business and industry specification for submitting transactions to Rhode Island Medical Assistance in an interactive mode.
www.dhs.state.ri.us/dhs/hipaa/ncpdptestinfo.htm
- b. Rhode Island Specific Medical Assistance HIPAA NCPDP Version 1.1 Batch Implementation Guidelines – Informs the software vendor/developer of business and industry specification for submitting transactions to Rhode Island Medical Assistance in a batch mode. www.dhs.state.ri.us/dhs/hipaa/ncpdptestinfo.htm
- c. Test Cases - Test cases that are designed to determine that the software developer's application is capable of formatting pharmacy claim, reversal and eligibility transactions in the NCPDP Version 5.1 format.
www.dhs.state.ri.us/dhs/hipaa/ncpdptestinfo.htm
- d. Verification Statement – The developer must sign this document, which confirms that the test cases submitted and responses received were verified as correct against the test plan. www.dhs.state.ri.us/dhs/hipaa/ncpdptestinfo.htm

Other alternatives method to obtaining Implementation guidelines and test cases:

- 2. Request an Electronic copy of the Excel sheet to be mailed to you by sending a request to ingelcia.jiran@eds.com.
- 3. Electronic copy via diskette. Send request to ingelcia.jiran@eds.com
- 4. Paper copy via US mail. Send request to ingelcia.jiran@eds.com or call Ingelcia Jiran (401) 784-3818.

III. Obtain Vendor Specific Test Recipients for Beta Testing:

- a. On or after May 1, 2003 and after successful testing with WebMD, obtain a listing of test recipients specifically designed for your organization. Your assigned test recipients can be obtained by contacting Ingelcia Jiran at (401) 784-3818 or ingelcia.jiran@eds.com

IV. Commence with beta Testing:

Using the specifications provided, the developer prepares their application for testing. This phase of certification involves answering questions regarding the specifications, test packet, and telecommunications. The preferred communications method between EDS and developers is through Email.

Test transactions, meeting the criteria listed in the certification packet, will be submitted when the developer's software and system are ready. This process may be repeated depending on the review of the results of the submitted transactions.

- a. EDS / MMIS Testing system will be available 24 hrs. /day beginning Monday, June 2, 2003 – Friday, June 27, 2003.
- b. Customer support of testing is available M-F 8:00 – 4:30 at (401) 784-3818.

V. Certify testing and provide Vendor statement of certification to EDS.

- a. After submitting their test transactions, the developer must submit a copy of their results for review. The review process proves the software's ability to accurately display the NCPDP Version 5.1 response data. Inaccuracies that are detected will be documented and presented to the developer for corrective action. The developer will make the necessary adjustments to their software and resubmit the transactions.
- b. When a final review has been conducted and the submitted test cases are determined to be accurate, the developer is notified via Email or Fax. The developer will be required to submit a signed Verification Statement to EDS.
- c. The Verification Statement must be signed by the vendor, which confirms that the test cases submitted and responses received were verified as correct against the test plan. The vendor also agrees to only distribute software for Rhode Island Medical Assistance that is in conformance with the current specifications and any revisions that may occur. It also confirms that their test case results are valid and representative of this interface. www.dhs.state.ri.us/dhs/hipaa/ncpdptestinfo.htm
- d. Upon receipt of the signed Verification Statement, the Software Vendor Certification ID will be activated in the production environment.

DHS HIPAA Website

Provider/submitters may obtain additional information about DHS and HIPAA from the DHS website at the following address: <http://www.dhs.state.ri.us/>